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|--|---|------------------------|---------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence during pendency of filed application)</i> |   | Application Number     | 10/635,755          |
|  |   | Filing Date            | August 5, 2003      |
|  |   | First Named Inventor   | Vincent Alan Larsen |
|  |   | Group Art Unit No.     | Not yet known       |
|  |   | Examiner Name          | Not yet known       |
| Total Number of Pages in This Submission   | 3 | Attorney Docket Number | 24089-09280         |

| ENCLOSURES (check all that apply)  |  |
|--|--|
| <input type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input type="checkbox"/> Check Enclosed<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input type="checkbox"/> Response to Notice to File Missing Parts<br><input type="checkbox"/> Assignment & Recordation Cover Sheet<br><input type="checkbox"/> Declaration<br><input type="checkbox"/> Power of Attorney<br><input type="checkbox"/> Application Data Sheet<br><input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A<br><input type="checkbox"/> Copies of IDS Cited References<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Request for Correction of Recorded Assignment<br><input type="checkbox"/> Amendment/Response: [ ] Page(s)<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Status Request<br><input type="checkbox"/> | <input type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> Letter to Chief Draftsperson<br><input type="checkbox"/> Formal Drawing(s):<br>[ ] Sheet(s) of Figure(s) [ ]<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Change of Maintenance Fee Address<br><input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b)<br><input checked="" type="checkbox"/> Revocation and Substitute Power of Attorney<br><input type="checkbox"/><br><input type="checkbox"/><br><input type="checkbox"/> |
| REMARKS:   |  |

| SIGNATURE OF ATTORNEY OR AGENT |                                    |        |         |
|--------------------------------|------------------------------------|--------|---------|
| Signature:                     |                                    |        |         |
| Attorney/Reg. No.:             | Brian M. Hoffman, Reg. No.: 39,713 | Dated: | 8/12/04 |

| CERTIFICATE OF MAILING  |                  |        |         |
|---|------------------|--------|---------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. |                  |        |         |
| Signature:  |                  |        |         |
| Typed or Printed Name:  | Brian M. Hoffman | Dated: | 8/12/04 |
| Express Mail Mailing Number (optional):   |                  |        |         |



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Systems Advisory Group Enterprises, Inc.

Application No./Patent No.: 10/635,755

Filed/Issue Date: August 5, 2003

Entitled: Financial Transaction Server With Process-Based Security

Systems Advisory Group Enterprises, Inc., a

Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%  
in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014382, Frame 0552, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

August 5, 2004  
Date

806-354-8185

Telephone number

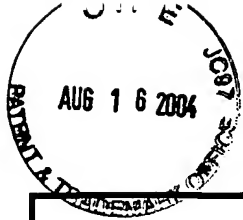
Don Paxton

Typed or printed name

Don Paxton  
Signature

Chief Executive Officer and Chairman

Title



**REVOCATION OF POWER OF  
ATTORNEY AND NEW POWER OF  
ATTORNEY AND CHANGE OF  
CORRESPONDENCE ADDRESS**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/635,755          |
| Filing Date            | August 5, 2003      |
| First Named Inventor   | Vincent Alan Larsen |
| Art Unit               | Not yet known       |
| Examiner Name          | Not yet known       |
| Attorney Docket Number | 24089-09280         |

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application, and I hereby appoint:

☒ Practitioners at Customer Number **00758** OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
|      |                     |
|      |                     |
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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address to, and associate the above-identified application with:

☒ Practitioners at Customer Number **00758**

OR

|  |  |       |  |     |
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| <input type="checkbox"/> Firm or Individual Name |  |       |  |     |
| Address  |  |       |  |     |
| Address  |  |       |  |     |
| City   |  | State |  | Zip |
| Country  |  |       |  |     |
| Telephone  |  | Fax   |  |     |

I am the:

- ☐ Applicant/Inventor.
- ☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

**SIGNATURE of Applicant or Assignee of Record**

|           |                                      |
|-----------|--------------------------------------|
| Name      | Don Paxton                           |
| Title     | Chief Executive Officer and Chairman |
| Signature |                                      |
| Date      | August 5, 2004                       |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.